Lack of Increased Risk of Lymphoma by Thiopurines or Biologics in Japanese Patients with Inflammatory Bowel Disease: A Large-Scale Administrative Database Analysis

Taku Kobayashi, a· Akihito Uda, b· Eri Udagawa, b· Toshifumi Hibi a

aCenter for Advanced IBD Research and Treatment, Kitasato University Kitasato Institute Hospital, Minato-ku, Tokyo, Japan bJapan Medical Office, Takeda Pharmaceutical Company Limited, Chuo-ku, Tokyo, Japan

Corresponding author: Taku Kobayashi, Center for Advanced IBD Research and Treatment, Kitasato University Kitasato Institute Hospital, 5-9-1, Shirokane, Minato-ku, Tokyo, 108-8642, Japan; Tel.: +81-3-3444-6161; fax: +81-3-3444-2530; email: kobataku@insti.kitasato-u.ac.jp

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Abbreviations: CD, Crohn's disease; CI, confidence interval; DPC, Diagnosis Procedure Combination; HR, hazard ratio; IBD, inflammatory bowel disease; ICD-10, International Statistical Classification of Diseases and Related Health Problems 10th Revision; IRR, incidence rate ratio; MDV, Medical Data Vision; NHL, non-Hodgkin lymphoma; NE, not estimable; NMSC, non-melanoma skin cancers; OR, odds ratio; SD, standard deviation; SEM, standard error of the mean; TNFα, tumor necrosis factor-α; UC, ulcerative colitis.
Abstract

**Background and Aims:** Patients with inflammatory bowel diseases may have higher incidences of non-melanoma skin cancers and non-Hodgkin lymphoma, potentially linked to underlying disease and treatments. This analysis assessed incidence rates of these malignancies in Japanese patients with ulcerative colitis or Crohn’s disease, and their association with thiopurine and/or anti-tumor necrosis factor-α treatment, using data from a nationwide administrative database in Japan.

**Methods:** Patients diagnosed with inflammatory bowel disease without malignancy were identified from the Medical Data Vision database. Incident cases of non-melanoma skin cancers and non-Hodgkin lymphoma diagnosed after prescription of thiopurine and/or anti-tumor necrosis factor-α were identified between April 2008 and January 2018. Age- and sex-adjusted incidence rate ratios were calculated relative to the total treated patient population.

**Results:** 75,673 eligible patients were identified at the index date. Thiopurine prescription with or without anti-tumor necrosis factor-α agents increased incidence rate ratios for non-melanoma skin cancers relative to the overall population (3.39 and 4.03, respectively). There were no notable differences in non-Hodgkin lymphoma incidence relative to the total population in any treatment subgroup, regardless of prescription of a thiopurine and/or anti-tumor necrosis factor-α (all incidence rate ratios, ~1).
**Conclusions:** There is no evidence for an increased incidence of non-Hodgkin lymphoma attributable to thiopurine or anti-tumor necrosis factor-α treatment in Japanese patients with inflammatory bowel disease. The impact of racial differences on non-Hodgkin lymphoma incidences should be considered. Thiopurine therapy may be a risk factor for non-melanoma skin cancers in Japanese patients.

**Key Words:** Anti-TNFα, immunomodulators, lymphoma, skin cancers.
Introduction

Inflammatory bowel disease [IBD] encompasses a group of inflammatory conditions of the digestive tract, of which ulcerative colitis [UC] and Crohn's disease [CD] are the two main forms.\(^1\) UC is characterized by erosions and/or ulcerations of the mucosal surface of the large intestine, while CD is a condition that can cause inflammation of any part of the gut, although the most common areas affected are the distal end of the small intestine and the colon.\(^1\-^3\)

Based on the most recently available data, 170,781 patients with UC and 40,885 patients with CD were registered as receiving treatment in Japan in the 2014 fiscal year.\(^4,^5\) Although the genetic susceptibility of Asian [including Japanese] persons to IBD differs from that of other populations,\(^6\) and familial risk in Asia has traditionally been considered to be ‘low’,\(^7\) the incidence of IBD is increasing in Japan.\(^8\)

Relapse after treatment is frequently seen in UC or CD patients and the current medical approach consists of induction of remission followed by long-term maintenance therapy.\(^3,^9,^10\) For UC, in moderate-to-severe disease or in cases refractory to corticosteroids, immunomodulators, such as thiopurines, and biological drugs, such as anti-tumor necrosis factor-alpha [TNF\(\alpha\)] agents, can be used as second- and third-line treatments, respectively.\(^3\) Similarly for CD, thiopurines are effective for maintenance of remission, while anti-TNF\(\alpha\) agents can be used to both induce and maintain remission.\(^1\) Combination treatment with thiopurines and anti-TNF\(\alpha\) is also an option and has been shown to achieve better efficacy compared with either treatment used alone.\(^11^-^13\)

Previous reports have suggested that IBD, as well as common therapies used to treat these conditions, may be associated with an increased incidence of malignancies.\(^14\) Increased risks of non-melanoma skin cancers [NMSC] and non-Hodgkin lymphoma [NHL] due to thiopurines have been reported in IBD patients, although mostly in Caucasian patient
populations [who have a higher baseline susceptibility to these malignancies than Asian patients].

Analyses in predominantly Caucasian patient populations have also suggested that the use of anti-TNFα monotherapy, as well as thiopurine monotherapy, may be associated with a small increase in the risk of lymphoma in patients with IBD, which is increased further if both treatments are used in combination. In contrast, a single questionnaire-based study reported no increase in the incidence of hematologic malignancies in Japanese IBD patients treated with thiopurines. This study was the only published investigation of the incidence of hematologic malignancies in IBD patients in Japan and, to our knowledge, no reports have investigated the incidence of NMSC in Japanese IBD patients treated with common IBD therapies.

The evidence relating the incidence of NMSC and NHL to IBD treatment is unclear in Japan and other Asian countries, such as China and Korea. We therefore utilized data from a large, nationwide administrative database to assess the incidence rates of NMSC and NHL, and the association between these incidence rates and the administration of thiopurine and/or anti-TNFα agents in IBD patients in Japan.
Materials and Methods

2.1. Data Sources

This study of incident cases of NMSC and NHL in IBD patients was conducted using cross-sectional data from the Medical Data Vision [MDV] database. At the time of the study, the MDV database included data on approximately 17.8 million patients who had received treatment in any of the Diagnosis Procedure Combination [DPC] hospitals in Japan during the period from April 2008 to January 2018. The database includes not only hospitalization data, but also outpatient and prescription data. The MDV dataset, which is the largest private claims database accessible to pharma companies, includes information on: diagnoses coded using the World Health Organization’s International Statistical Classification of Diseases and Related Health Problems 10th Revision [ICD-10] coding scheme; disease names coded using Japanese Disease Name Codes; medical procedures coded using Japanese Procedure Codes; and prescription information, including generic drug names. It should be noted that when a patient is transferred to another hospital or clinic, his/her claims data can no longer be collected continuously.

2.2. Ethical Statement

Given that data contained within the MDV database are anonymous, no informed consent was required, as per the Ethical Guidelines for Epidemiological Research issued by the Japanese Ministry of Health, Labor, and Welfare. All authors had full access to all the data, and take responsibility for its integrity and the data analysis.

2.3. Study Population

The study population was identified from the MDV database [study period, April 2008 to January 2018] as patients with ≥ 1 recorded diagnosis of IBD, using the ICD-10 codes K51 for UC and K50 for CD, and no diagnosis of malignancy at the index date [defined as the first
day of the month in which IBD was first diagnosed or, for those patients with a prescription for a thiopurine and/or an anti-TNFα agent, the date of first prescription thereof]. Patients with UC and CD were evaluated as a single group in the analyses reported herein. Occurrences of malignancy [NMSC or NHL] during the study period were identified using the ICD-10 codes shown in Supplementary Table 1, available as Supplementary data at ECCO-JCC online.

2.4. Objectives and Assessments

The primary objective was to determine incidence rate ratios [IRRs] for NMSC and NHL in treatment subgroups relative to the overall IBD patient population. As the MDV database only includes patients receiving treatment, direct comparisons with the general population were not possible; therefore, comparisons were made with the total treated IBD population in the database. Incident cases of NMSC were identified as ≥ 1 recorded diagnosis of NMSC [by ICD-10 code; laboratory confirmation of diagnosis was not required in accordance with usual practice]. The following criteria were used to identify incident cases of NHL: ≥ 3 recorded diagnoses of NHL [by ICD-10 code]; ≥ 1 recorded diagnosis of NHL and a diagnostic/staging imaging procedure [gallium scintigraphy or positron emission tomography–computed tomography scan]; or ≥ 1 recorded diagnosis of NHL and ≥ 1 treatment prescription for rituximab, cisplatin, cyclophosphamide, doxorubicin, vincristine, cytarabine, etoposide, carboplatin, brentuximab vedotin, or ifosfamide.

For all analyses, the IBD population was divided into treatment subgroups based on patient prescription records as: thiopurine [azathioprine or 6-mercaptopurine] without TNFα [infliximab or adalimumab]; anti-TNFα without thiopurine; thiopurine and anti-TNFα; and other treatment. As a sensitivity analysis, IRRs for IBD patients with a ≥ 3-month observation period for thiopurine or ≥ 3 prescriptions for an anti-TNFα after their first recorded prescription were also calculated.
The secondary objective was to determine whether there was an association between the incidences of NMSC or NHL and the prescribing of thiopurine and/or anti-TNFα agents in the IBD population using Cox proportional hazards modeling.

2.5. Statistical Analysis

2.5.1. Incidence Rate Ratios

Incidence of malignancy [i.e. the number of patients with a recorded diagnosis of the target malignancy [NMSC or NHL] during the evaluation period, defined as the period from the index date until the end of the observation period or the date of malignancy diagnosis, whichever was earlier] was identified from claims data for patients with IBD and no diagnosis of malignancy at the index date. Daily and annual incidences of NMSC and NHL were calculated. Incidence rates were then expressed as the number of malignancies per 100,000 person-years.

For the reference population, estimated age- and sex-adjusted incidences of NMSC and NHL were calculated for all treated IBD patients in the MDV database using the following formula:

\[
\text{Incidence} = \text{observation period [in years]} \times \text{age- and sex-adjusted annual incidence of malignancy in the overall treated IBD population.}
\]

IRRs were calculated as the ratio of incident cases in the IBD treatment subgroups of interest to incident cases in the overall IBD population.

2.5.2. Cox Proportional Hazards Analyses

The effect of age, sex, and treatment type on NMSC and NHL risk in treated IBD patients from the MDV database was analyzed using adjusted Cox proportional hazards models.
SAS version 9.4 [SAS Institute, Cary, NC, USA] was used for the statistical analyses. All analyses were performed by Crecon Medical Assessment, Tokyo, Japan, with financial support from Takeda Pharmaceutical Company Limited.

**Results**

During the study period [as defined above], 75,673 patients with IBD and no diagnosis of malignancy at the index date [representing more than a quarter of all patients with IBD in Japan] were identified from the MDV database. Baseline patient characteristics are summarized in Table 1. The mean age of patients was 45.7 years and 43% of patients were female.

### 3.1. Incidence Rate Ratios

#### 3.1.1. Non-melanoma Skin Cancers

Development of NMSC in IBD patients during the evaluation period is shown in Figure 1 and Supplementary Table 2, available as Supplementary data at ECCO-JCC online. A total of 43 cases of NMSC were observed in 75,673 patients. IRRs for NMSC relative to the overall IBD population [in patients with $\geq 1$ prescription] were 4.03 in patients who were prescribed thiopurine alone and 3.39 in those who were prescribed thiopurine and anti-TNFα combined. In patients who were prescribed anti-TNFα alone, the IRR was 0. Incidence rates per 100,000 person-years for NMSC were 4.94 for thiopurine alone, 2.51 for thiopurine and anti-TNFα combined, and 0 for anti-TNFα alone. The results of the sensitivity analysis were similar to those of the main analysis.

#### 3.1.2. Non-Hodgkin Lymphoma

Figure 2 and Supplementary Table 3, available as Supplementary data at ECCO-JCC online, show IRRs for NHL in patients with IBD. Overall, 103 cases of NHL were seen in 75,673
patients. There were no notable differences in NHL incidence among any of the IBD treatment subgroups, regardless of prescriptions for thiopurine and/or anti-TNFα. IRRs relative to the overall IBD population (in patients with ≥ 1 prescription) were 0.96 for thiopurine, 1.18 for thiopurine and anti-TNFα combined, and 0.99 for anti-TNFα. NHL incidence rates per 100,000 person-years were 4.95, 5.03, and 4.08, respectively. The results of the sensitivity analysis echoed those of the main analysis.

3.2. Cox Proportional Hazards Analysis

In the Cox proportional hazards analysis, patient age [hazard ratio (HR), 1.09; \( p < 0.0001 \)], thiopurine prescription [HR, 4.92; \( p < 0.0001 \)], and combined thiopurine/anti-TNFα prescription [HR, 5.08; \( p = 0.001 \)] were all significantly associated with an increased risk of NMSC, whereas sex was not [Table 2]; the effect of anti-TNFα treatment alone on the risk of NMSC could not be estimated accurately due to an inadequate sample size. In the lymphoma analysis, only age was significantly associated with an increased risk of NHL [HR, 1.04; \( p < 0.0001 \)]; sex and treatment [thiopurine and/or anti-TNFα prescription] were not associated with NHL.

Discussion

To our knowledge, this is the first real-world study based on a large-scale administrative database to assess the morbidity of NMSC and NHL in Japanese patients with IBD. Incidences of these malignancies in the Japanese population, and the Asian population as a whole, are different [generally much lower] compared with Caucasian populations.24-26 Moreover, Western IBD studies have demonstrated an association between thiopurine use and NMSC and NHL, and there is some evidence that TNFα use may be linked with a small increase in the risk of lymphoma in predominantly Caucasian patients.15-19,22,27,28 As there are
almost no current data looking at the incidences of NMSC and NHL, and their associations with the prescribing of thiopurine/anti-TNFα therapies, in other populations, such as Asian patients, this study aimed to provide real-world evidence on this clinical issue.

For NMSC, IRRs [relative to the overall IBD population] were higher in IBD patients who were prescribed treatment with a thiopurine with or without an anti-TNFα compared with patients not receiving these treatments. Furthermore, Cox regression analysis showed that thiopurine prescription without an anti-TNFα [HR, 4.92; p < 0.0001] and thiopurine prescription with anti-TNFα therapy [HR, 5.08; p = 0.001] were both significantly associated with an increased risk of NMSC; a HR for the anti-TNFα prescription only subgroup could not be estimated due to an inadequate sample size (as no cases of NMSC were observed during the evaluation period). Consistently, Western studies have also shown an association between NMSC and thiopurine and/or anti-TNFα treatment in predominantly Caucasian patients with IBD, and some have reported an association between NMSC and IBD itself.\textsuperscript{11,19,27,29-31} For example, in a large retrospective cohort study using an administrative database of American IBD patients, recent thiopurine use [≤ 90 days prior to NMSC diagnosis] was associated with increased odds of developing NMSC [adjusted odds ratio [OR], 3.56; 95% confidence interval [CI], 2.81–4.50].\textsuperscript{30} In the same study, recent biologic use [including anti-TNFα therapy] in patients with CD was also associated with NMSC [adjusted OR, 2.07; 95% CI, 1.28–3.33].\textsuperscript{30} While we also found an association between NMSC and thiopurine with/without anti-TNFα prescription, the increase in the relative risk of NMSC observed with IBD treatment exposure in the present study must be balanced against the low absolute risk of NMSC in the Japanese population.\textsuperscript{32,33} Even in patients who were prescribed a thiopurine with or without anti-TNFα therapy, the incidence rates of NMSC [2.94–4.94 per 100 000 person-years] were still in line with published figures for the general Japanese population.\textsuperscript{32,33} Consequently, unlike in Western countries [where
baseline rates of NMSC in the general population are much higher (> 1000 per 100 000 person-years in Australia and 450 per 100 000 person-years in the USA), the relative increase in NMSC incidence rates associated with the prescribing of thiopurine with/without anti-TNFα treatment is likely to have minimal adverse impact on Japanese patients with IBD, and the real-world benefits of treatment on IBD signs and symptoms are likely to far outweigh the risk of malignancy. As stated above, in our analysis, no cases of NMSC were reported among IBD patients who were prescribed anti-TNFα therapy alone.

IRRs for NHL relative to the overall IBD population were generally comparable in patients who were prescribed a thiopurine or an anti-TNFα [with IRRs of ~1]. Reassuringly, our results were in accordance with a previous questionnaire-based study in Japan, which demonstrated no significant increase in hematologic malignancies in UC or CD patients receiving thiopurines. In contrast, studies in Caucasian IBD patient populations have reported an increased risk of NHL attributable to thiopurine or anti-TNFα use. For instance, in a study utilizing French National Health Insurance data, use of a thiopurine or anti-TNFα as monotherapy in adults with IBD was associated with a small but significant increase in the risk of lymphoma, and the risk was further increased with the use of both agents in combination, as also seen in the present study. A meta-analysis of six cohort studies also revealed an approximate four-fold increase in the risk of lymphoma in IBD patients treated with azathioprine/6-mercaptopurine; the authors of this analysis suggested that the increase could be attributed to the medication, the severity of the underlying disease, or both. With regard to potential disease effects, a Danish population-based cohort study reported an increased risk of NHL in patients with UC versus the general population that was not associated with thiopurine exposure. It is not clear, however, whether IBD on its own increases the risk of lymphoma due to conflicting data. Overall, our results show a similar or lower incidence of NHL in the treated IBD population [4.08–5.03 per 100 000 person-
years] compared with reported figures for the baseline incidence of NHL in the general population in Japan [2.0–11.3 per 100 000 person-years; 2015 data].

We believe that genetic background may underlie any inconsistencies between Asian [in this case, Japanese] and Caucasian data, resulting in different baseline incidences of malignancy [note, rates of NMSC and NHL are much lower in Japan than in Western countries], as well as different susceptibilities for the development of malignancy due to treatment exposure. In addition, standard doses of thiopurines prescribed to Japanese patients with IBD are different to those prescribed in Western countries, and this may also have affected the results. Given these data, it will be important to further evaluate the development of malignancy in IBD patients from different ethnic backgrounds. It will also be important, in future studies, to assess if the risk of malignancy in Japanese IBD patients is affected by the duration of thiopurine prescription, given that studies in mainly Caucasian patients have reported a link between the duration of exposure to these medications and the risk of developing cancer. Interestingly, it has also been shown that the risk of lymphoma is no longer increased following discontinuation of thiopurine treatment.

There were some limitations to our study. For example, information on some confounding factors for malignancies [e.g. disease severity and duration] was not available in the MDV database and could not be adjusted for in this analysis. Moreover, the study period was limited to that available in the database. Analyses that adjust for additional, potentially confounding factors over longer observation periods are therefore needed. Within the MDV database, it was also not possible to continuously track patients who transferred to or from another hospital or clinic, which may have resulted in some cases of malignancy being calculated inaccurately. Further, due to nature of the MDV database, it was only possible to make comparisons with the total treated IBD population, as opposed to the general population. Another potential limitation relates to the analysis of IBD patients as a single
group, for it is possible that the results might have been different if we had analyzed UC and CD patients separately. However, due to the low number of incident cases of malignancy for NMSC and NHL, individual analysis by IBD type was considered unlikely to generate meaningful results. The low incidence of malignancy also precluded any meaningful subgroup analyses [e.g. analysis by patients undergoing surgery]. It should be noted that while these results may be possibly extrapolated to other Asian populations with similar genetic backgrounds [e.g. Chinese and Korean patients], they may not be applicable to populations of other ethnicities.

In conclusion, this large-scale, administrative database study provides an indication of the real-world risk of NMSC and NHL in Japanese patients with IBD. This is the first study to demonstrate that thiopurine with or without anti-TNFα prescription may be associated with an increased risk of NMSC in this population. There was, however, no evidence that NHL incidence rates are affected by thiopurine or anti-TNFα prescription. Incidence rates of both malignancies in the Japanese population are low compared with Caucasian populations. Therefore, racial differences regarding the risk of malignancy may need to be considered in future individualized risk-benefit assessments for UC and CD management in each ethnicity, which should not focus solely on incidence data from Caucasian patient populations. Analyses that adjust for additional confounding factors over extended observation periods are warranted.
Funding

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Conflict of Interest


AU and EU are employees of Takeda Pharmaceutical Co. Ltd.

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Author Contributions

TK, AU, EU, and TH all made substantial contributions to all of the following: conception and design of the study, acquisition of data, analysis and interpretation of data, revising the article critically for important intellectual content, and final approval of the version to be submitted. TK, AU, and EU also contributed to drafting the article. All authors had full access to all the data in the study and had final responsibility for the decision to submit for publication.
References


Figure Legends

**Figure 1.** Age- and sex-adjusted IRRs for NMSC in IBD patient subgroups relative to the overall IBD population by treatment prescribed [MDV database]. CI, confidence interval; IBD, inflammatory bowel disease [ulcerative colitis/Crohn’s disease]; IRR, incidence rate ratio; MDV, Medical Data Vision; NE, not estimable; NMSC, non-melanoma skin cancers; TNFα, tumor necrosis factor-alpha.

**Figure 2.** Age- and sex-adjusted IRRs for NHL in IBD patient subgroups relative to the overall IBD population by treatment prescribed [MDV database]. CI, confidence interval; IBD, inflammatory bowel disease [ulcerative colitis/Crohn’s disease]; IRR, incidence rate ratio; MDV, Medical Data Vision; NHL, non-Hodgkin lymphoma; TNFα, tumor necrosis factor-alpha.
Tables

Table 1. Baseline characteristics at the time of cohort entry for patients with IBD and no diagnosis of malignancy at the index date.

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>MDV database</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patients, N</td>
<td>75 673</td>
</tr>
<tr>
<td>Mean age, years [SD]</td>
<td>45.7 [18.3]</td>
</tr>
<tr>
<td>Female, %</td>
<td>42.5</td>
</tr>
<tr>
<td>Mean observation time, months [SD]</td>
<td>30.8 [26.8]</td>
</tr>
<tr>
<td>Patients with a prescription for a thiopurine or anti-TNFα, n</td>
<td>18 858</td>
</tr>
<tr>
<td>Thiopurine only</td>
<td>7042</td>
</tr>
<tr>
<td>Anti-TNFα only</td>
<td>6062</td>
</tr>
<tr>
<td>Thiopurine and anti-TNFα</td>
<td>5754</td>
</tr>
</tbody>
</table>

IBD, inflammatory bowel disease [ulcerative colitis/Crohn’s disease]; MDV, Medical Data Vision; SD, standard deviation; TNFα, tumor necrosis factor-alpha.

The index date was defined as the first day of the month in which IBD was first diagnosed or, for those patients with a prescription for a thiopurine and/or an anti-TNFα agent, the date of first prescription thereof.
Table 2. Cox proportional hazards analysis of the effect of age, sex, and treatment on NMSC and NHL risk in IBD patients [MDV database].

<table>
<thead>
<tr>
<th>Malignancy and risk factor</th>
<th>Parameter estimate</th>
<th>SEM</th>
<th>p-value</th>
<th>HR</th>
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<tr>
<td><strong>NMSC</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sex</td>
<td>0.24</td>
<td>0.31</td>
<td>0.434</td>
<td>1.28</td>
</tr>
<tr>
<td>Age</td>
<td>0.09</td>
<td>0.01</td>
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<td>1.09</td>
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<tr>
<td>Thiopurine only</td>
<td>1.59</td>
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<td>&lt; 0.0001</td>
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<td>Anti-TNFα only</td>
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<td>724.68</td>
<td>0.986</td>
<td>0.00</td>
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<tr>
<td>Thiopurine and anti-TNFα</td>
<td>1.63</td>
<td>0.48</td>
<td>0.001</td>
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<tr>
<td><strong>NHL</strong></td>
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<td></td>
</tr>
<tr>
<td>Sex</td>
<td>0.15</td>
<td>0.20</td>
<td>0.457</td>
<td>1.16</td>
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<tr>
<td>Age</td>
<td>0.04</td>
<td>0.01</td>
<td>&lt; 0.0001</td>
<td>1.04</td>
</tr>
<tr>
<td>Thiopurine only</td>
<td>0.21</td>
<td>0.32</td>
<td>0.518</td>
<td>1.23</td>
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<tr>
<td>Anti-TNFα only</td>
<td>0.28</td>
<td>0.38</td>
<td>0.455</td>
<td>1.33</td>
</tr>
<tr>
<td>Thiopurine and anti-TNFα</td>
<td>0.54</td>
<td>0.32</td>
<td>0.094</td>
<td>1.71</td>
</tr>
</tbody>
</table>

HR, hazard ratio; IBD, inflammatory bowel disease [ulcerative colitis/Crohn’s disease]; MDV, Medical Data Vision; NHL, non-Hodgkin lymphoma; NMSC, non-melanoma skin cancers; SEM, standard error of the mean; TNFα, tumor necrosis factor-alpha.